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OSMM&N File No. 244735US0X

Serial No. 10/695,895

In the matter of the Application of: Hidenori OHKI, et al.

For: CEPHEM COMPOUNDS

Dept.: IP-I

By: NFO/shb

Due Date: 01/30/04

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Information Disclosure Statement
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- Cited References (4)
- IDS/Related/List of Related Cases
- Cited Pending Applications (1)

■ PTO-1449



Docket No. 244735US0X/shb



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hidenori OHKI, et al.

SERIAL NO: 10/695,895

GAU:

FILED: October 30, 2003

EXAMINER:

FOR: CEPHEM COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
244735US0X*	10/695,895	10/30/03	OHKI, et al.
244740US0X-PCT	10/475,845	10/31/03	OHKI, et al.

*Present Application; listed for information
NFO/amr



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 244735US0X		SERIAL NO. 10/695,895	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hidenori OHKI, et al.			
				FILING DATE October 30, 2003		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,194,432	03/16/1993	T. TAKAYA, et al.			
	AB						
	AC						
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	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	WO 97/41128	11/06/1997	WIPO			
	AP	0 771 803	05/07/1997	EUROPE			
	AQ						
	AR						
	AS						
	AT						
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	R. F. BROWN, et al., Journal of Medicinal Chemistry, vol. 33, no. 8, pages 2114-2121, XP-001084083, "SYNTHESIS AND BIOLOGICAL EVALUATION OF A SERIES OF PARENTERAL 3'-QUATERNARY AMMONIUM CEPHALOSPORINS", 1990					
	AX	K. SAKAGAMI, et al., Chem. Pharm. Bull, vol. 38, no. 8, pages 2271-2273, XP-001083825, "SYNTHETIC CEPHALOSPORINS. VI. 1) SYNTHESIS AND ANTIBACTERIAL ACTIVITY OF 7-[(Z)-2-(2-AMINOTHIAZOL-4-YL)-2-(1-CARBOXY-1-METHYL)ETHOXYIMINOACETAMIDO]-3-(3-HYDROXY-4-PYRIDON-1-YL)METHYL-3-CEPHEM-4-CARBOXYLIC ACID AND RELATED COMPOUNDS", 1990					
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							